# Online Services

## Stephen Cole, Chief Operating Officer
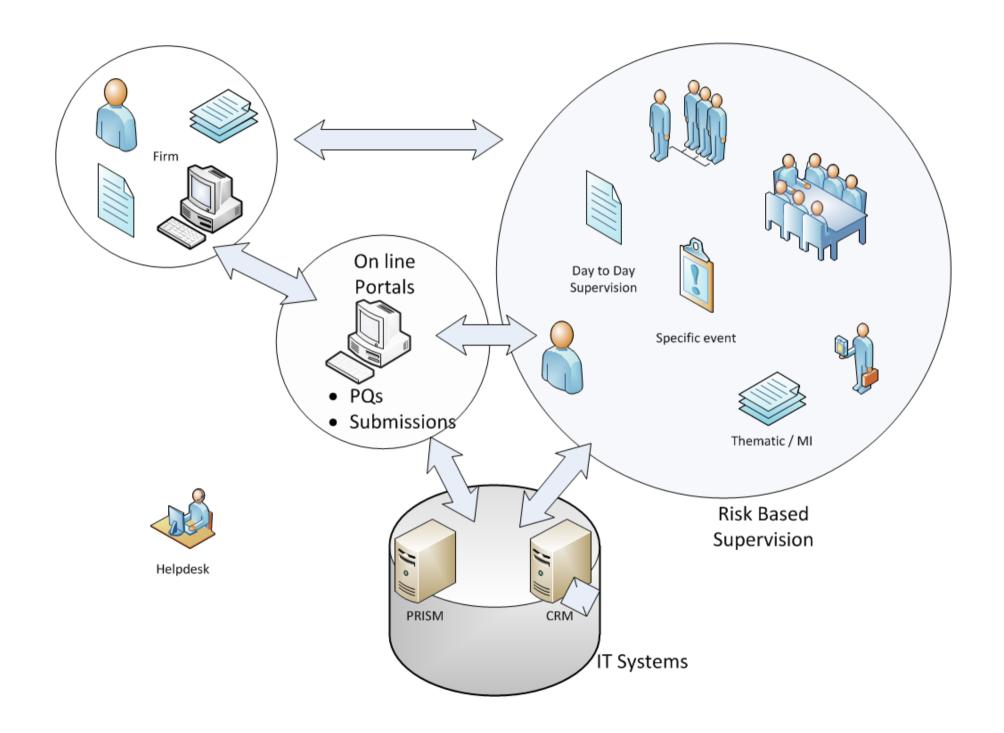
# Online Services

## The Past

## The Present

## The Future

# Context

- Enhance

- Save

- Minimise

- Improve

PRISM – Probability Risk and Impact SysteM

KRIs – Key Risk Indicators

Firm

On line Portals

- PQs
- Submissions

Helpdesk

PRISM    CRM

IT Systems

Day to Day Supervision

Specific event

Thematic / MI

Risk Based Supervision

# The Past

- Sentinel Transformation Programme

  – PRISM

  – Online PQs

  – Online submission of returns, notifications and applications

# The Present

- Sentinel Transformation Programme = Online Services

- Transformation Team = Risk Unit

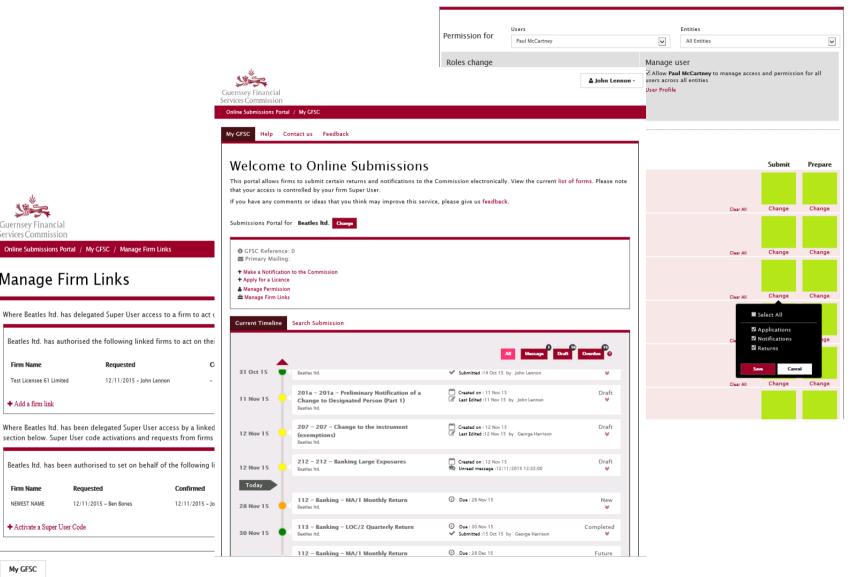- All systems operational

# The Future

- Short Term
  - Respond
  - Prioritise
  - Fix
- Long Term
  - Processes
  - Development

# Online Services

- Registration

- Permissions


- Training

- Help-desk

- Security

## Manage Permissions

**Permission for**

Users
Paul McCartney

Entities
All Entities

### Roles change

### Manage user

☑ Allow **Paul McCartney** to manage access and permission for all users across all entities

User Profile

👤 John Lennon ▾

| | Submit | Prepare |
|---|---|---|
| Clear All | Change | Change |
| Clear All | Change | Change |
| Clear All | Change | Change |
| Clear All | Change | Change |
| Clear All | Change | Change |

☐ Select All

☑ Applications
☑ Notifications
☑ Returns

Save    Cancel

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### Guernsey Financial Services Commission

Online Submissions Portal / My GFSC

My GFSC   Help   Contact us   Feedback

## Welcome to Online Submissions

This portal allows firms to submit certain returns and notifications to the Commission electronically. View the current list of forms. Please note that your access is controlled by your firm Super User.

If you have any comments or ideas that you think may improve this service, please give us feedback.

Submissions Portal for **Beatles ltd.**   Change

ⓘ GFSC Reference: 0
✉ Primary Mailing:

➕ Make a Notification to the Commission
➕ Apply for a Licence
👤 Manage Permission
🗄 Manage Firm Links

**Current Timeline**   Search Submission

All   Message ¹   Draft ¹⁵   Overdue ¹⁵ ❓

| 31 Oct 15 | Beatles ltd. | | ✔ Submitted :14 Oct 15 by John Lennon | |
| 11 Nov 15 | 201a – 201a – Preliminary Notification of a Change to Designated Person (Part 1) Beatles ltd. | | 📅 Created on : 11 Nov 15 ✎ Last Edited :11 Nov 15 by John Lennon | Draft |
| 12 Nov 15 | 207 – 207 – Change to the instrument (exemptions) Beatles ltd. | | 📅 Created on : 12 Nov 15 ✎ Last Edited :12 Nov 15 by George Harrison | Draft |
| 12 Nov 15 | 212 – 212 – Banking Large Exposures Beatles ltd. | | 📅 Created on : 12 Nov 15 💬 Unread message :12/11/2015 12:32:00 | Draft |
| Today | | | | |
| 28 Nov 15 | 112 – Banking – MA/1 Monthly Return Beatles ltd. | | 🕐 Due : 28 Nov 15 | New |
| 30 Nov 15 | 113 – Banking – LOC/2 Quarterly Return Beatles ltd. | | 🕐 Due : 30 Nov 15 ✔ Submitted :15 Oct 15 by George Harrison | Completed |
| | 112 – Banking – MA/1 Monthly Return Beatles ltd. | | 🕐 Due : 28 Dec 15 | Future |

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### Guernsey Financial Services Commission

Online Submissions Portal / My GFSC / Manage Firm Links

## Manage Firm Links

Where Beatles ltd. has delegated Super User access to a firm to act

Beatles ltd. has authorised the following linked firms to act on their

| Firm Name | Requested | |
|---|---|---|
| Test Licensee 61 Limited | 12/11/2015 – John Lennon | – |

➕ Add a firm link

Where Beatles ltd. has been delegated Super User access by a linked section below. Super User code activations and requests from firms

Beatles ltd. has been authorised to set on behalf of the following li

| Firm Name | Requested | Confirmed |
|---|---|---|
| NEWEST NAME | 12/11/2015 – Ben Bones | 12/11/2015 – Jo |

➕ Activate a Super User Code

My GFSC

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