

Current Forms

RETURNS		
Form code	Form name	Status
101	Request for Information	Commission request for info
102	Request for Information	Commission request for info
111	Banking Compliance Return	Available online
113	Banking - LOC/2 Quarterly Return	Available online
114	Banking - BSL Quarterly Return	Available online
115	Banking - Audited Financial Statements and Management Letter	Available online
116	Banking - Liquidity Monthly Return	Available online
123	Fiduciary - Audited Financial Statements and Statistical Return	Available online
124	Fiduciary - Annual Return - Full Fiduciary	Available online
125	Fiduciary - Annual Return - Personal Fiduciary	Available online
127a	Fiduciary - Pension Scheme or Gratuity Scheme Annual Return	Available online
127b	Fiduciary - Multi Pension Scheme or Gratuity Scheme Annual Return	Available online
128a	Fiduciary - Pension Scheme or Gratuity Scheme - Annual Statistical Return	Available online
128b	Fiduciary - Multi-Scheme Pension Scheme or Gratuity Scheme - Annual Statistical Return	Available online
131	Insurance Intermediary Annual Return	Available online
132	Insurance Manager Annual Return	Available online
133	Insurer Annual Return	Available online
134a	Life Insurer Quarterly Return	Available online
134b	Life Trustee Quarterly Return	Available online
135	Non-Locally Incorporated Domestic Insurer Annual Return	Available online
136	Insurer Half-Yearly Return	Available online
141a	Investment Designated Administrator Quarterly Return	Available online
141b	Multi-scheme Investment Designated Administrator Quarterly Return	Available online
142	Investment Audited Financial Statements, Compliance and Statistical Returns (Licensee)	Available online
143	Investment Audited Financial Statements (Funds)	Available online
145	Investment Quarterly Return	Available online
146	Investment - Overseas Collective Investment Schemes Annual Return	Available online
151	Financial Crime Risk Return	Available online
152b	Financial Crime Risk - Multi-Scheme Intermediary Return	Available online
153	Financial Flows Return	Available online
154	Prescribed Business Return	Available online
155	Director Registration Regime - Return	Available online
161	Quarterly Financial Data Return - Investment Fiduciary and Pension	Available online
171	Credit Provision	Available online
172	Services Ancillary to Credit	Available online
173	Lending, Credit and Finance FFB	Available online
NOTIFICATIONS		
Form code	Form name	Status
200	Other Notification	Available online
201a	Preliminary Notification of a Change to Designated Person (Part 1) (*)	Use Form 200
201b	Confirmation Notification of a Change to Designated Person (Part 2) (*)	Use Form 200
202	Update Address	Use Entity Details
203	Notification or Confirmation of the Change of Registered Name	Available online
204	Change of Trading Name	Available online
205	Confirmation of change to the Financial Reporting Period	Available online
206	Change of Trustee (Life Insurers) (*)	Use Form 200
207	Request to Vary Exemption (*)	Use Form 200
209	Change of Agreements - Licensees only	Available online
210	AIFMD	Available online
211	Change of Corporate Appointment	Available online
212	Banking Large Exposures	Available online
213	Business Plan	Available online
214	Breaches	Available online
215	Complaints	Available online
216	PI Claims and Legal Proceedings	Available online
217	Pricing Error	Available online
218	Proposed Transfer of Business	Available online
219	Waiver/Modification/Derogation	Available online
220	Outsourcing	Available online
221	Surrender or Cancellation of Licence	Available online
222	Exemption is no longer required (*)	Use Form 200
223	Migration of a Company (Out) (*)	Use Form 200
225	Licence Conversion/Subsumption/Transfer (*)	Use Form 200
226	Surrender Registration	Available online
227	Scheme Suspension of Authorisation/Registration	Available online
228	Surrender of Scheme Authorisation/Registration	Available online
229	Change to Investment Scheme	Available online
230	Authorisation or De-authorisation of Financial Adviser and/or Authorised Insurance Representative	Available online
231	Cancellation of a Pension Scheme or Gratuity Scheme	Available online
232	Pension Scheme or Gratuity Scheme Transfer Notification	Available online
233	New Pension or Gratuity Scheme	Available online
235	Appointment of Nominated Firm for Investor CDD	Available online
236	Director Registration Regime Deregistration	Available online

(*) decommissioned form, for notifications use form 200